

## 施羅德基金通知

2024 年 7 月 12 日

富達投信甫於近日接獲「施羅德環球基金系列」之在台總代理人施羅德證券投資信託股份有限公司之通知事項。相關書件如附件所示供參。

若您對本通知有任何相關問題，歡迎聯絡您專屬的業務專員。富達證券營業讓與予富達投信後，目前富達投信未擔任該系列基金之銷售機構，若有其他相關問題，建議您可洽詢該系列基金之總代理人。

###

**【富達投信獨立經營管理】**各基金經金管會核准或同意生效，惟不表示絕無風險，基金經理公司以往之經理績效不保證基金之最低投資收益，基金經理公司除盡善良管理人之注意義務外，不負責各基金之盈虧，亦不保證最低之收益，投資人申購前應詳閱基金公開說明書。有關基金應負擔之費用(境外基金含分銷費用)已揭露於基金之公開說明書或投資人須知中，投資人索取公開說明書或投資人須知，可至富達投資服務網 <http://www.fidelity.com.tw>或境外基金資訊觀測站 <http://www.fundclear.com.tw>查詢，或請洽富達投信或銷售機構索取。Fidelity 富達, Fidelity International, 與Fidelity International 加上其F標章為FIL Limited 之商標。FIL Limited 為富達國際有限公司。富達證券投資信託股份有限公司為FIL Limited 在台投資100%之子公司。110台北市信義區忠孝東路五段68號11樓，富達投信服務電話 0800-00-9911。

SITE 2016 09-007

## 施羅德證券投資信託股份有限公司 函

地址：台北市信義區信義路五段108號9樓

聯絡人：客戶服務部

電話：(02)8723-6888

電子郵件：SIMTWCQ@schroders.com

受文者：如行文單位

發文日期：中華民國113年7月12日

發文字號：施羅德業字第1130000115號

速別：普通件

密等及解密條件或保密期限：

附件：境外基金公司致股東通知信

主旨：通知本公司總代理之施羅德環球基金系列—新興歐洲（下稱「本基金」）變更永續金融揭露規則（「SFDR」）分類及修訂投資政策乙事，詳後說明，請查照。

說明：

- 一、依據境外基金公司通知，本基金將自西元（下同）2024年8月15日（「生效日」）起歸類為SFDR第8條項下之基金，為反映此變動，本基金公開說明書之投資政策及基金特色等章節將同步修訂，有關此變更詳情及本基金各級別代碼資訊，請參閱附件「境外基金公司致股東通知信」。
- 二、如 貴公司投資人無意於上述變更生效後繼續持有本基金，可於2024年8月14日下午5時交易截止時間前進行贖回或轉換，境外基金公司將依據公開說明書規定免費執行贖回或轉換指示。
- 三、謹請查照轉知。

正本：法商法國巴黎銀行台北分公司、高雄銀行信託部、星展(台灣)商業銀行股份有限公司、彰化商業銀行股份有限公司、凱基商業銀行股份有限公司、中國信託商業銀行股份有限公司個人信託部、國泰世華商業銀行股份有限公司信託部、玉山商業銀行股份有限公司、安泰商業銀行股份有限公司、合作金庫商業銀行、第一商業銀行股份有限公司、遠東國際商業銀行股份有限公司、台北富邦商業銀行股份有限公司、華南商業銀行信託部、香港上海滙豐銀行股份有限公司台北分公司、華泰商業銀行股份有限公司、京城商業銀行股份有限公司、臺灣土地銀行股份有限公司、兆豐國際商業銀行股份有限公司、板信商業銀行股份有限公司、渣打國際商業銀行股份有限公司、上海商業儲蓄銀行股份有限公司、永豐商業銀行股份有限公司理財商品部、臺灣新光商業銀行股份有限公司、陽信商業銀行股份有限公司、台中商業銀行股份有限公司、臺灣中



**12 July 2024**

Dear Shareholder,

## **Schroder International Selection Fund (the "Company") – Emerging Europe (the "Fund")**

We are writing to advise you that on 15 August 2024 (the "Effective Date") the Fund will incorporate binding environmental and/or social characteristic(s), within the meaning of Article 8 of the Sustainable Finance Disclosure Regulation ("SFDR").

### **Background and rationale**

We believe that incorporating sustainability factors into the Fund's strategy aligns with the increasing desire among investors for strategies that can clearly demonstrate their sustainability credentials.

Details of the Fund's environmental and/or social characteristics and how it seeks to achieve them will be disclosed in the Fund's investment policy and under a new section called "Sustainability Criteria" in the Fund Characteristics section of the Company's prospectus. There will also be a pre-contractual disclosure for the Fund included in Appendix IV of the prospectus containing more information relating to the environmental and/or social characteristic(s) promoted by the Fund.

Full details of the changes being made to the Fund's investment policy can be viewed in the appendix to this letter.

The extent of the change to the risk/reward profile of the Fund as a result of this change is non-significant.

There are no other changes to the Fund's investment style, investment philosophy, investment strategy, and the operation and/or manner in which the Funds are being managed following these changes.

All other key features of the Fund, including the relevant risk indicator and fees, will remain the same.

### **Treatment of Russian equity and equity related securities (the "Russian Assets")**

As a result of the unprecedented geo-political situation caused by Russia's invasion of the Ukraine and the resultant impact of sanctions and the actions of governments and market counterparties on certain Russian issuers and assets, the Russian Assets held by the Fund as of 25 February 2022 were ring fenced within the Fund and reallocated to X9 and Y9 share classes.

The Russian Assets held within the X9 and Y9 share classes will be unaffected by this change and will remain separate to the main holdings of the Fund. The Fund will not include or consider the Russian Assets for the purposes of its Article 8 classification under SFDR.

### **Redeeming or switching your shares to another Schroders fund**

We hope that you will choose to remain invested in the Fund following these changes, but if you do wish to redeem your holding in the Fund or to switch into another of the Company's sub-funds before the Effective Date you may do so at any time up to and including deal cut-off on 14 August 2024. Please ensure that your redemption or switch instruction reaches HSBC Continental Europe, Luxembourg ("HSBC") before this deadline. HSBC will execute your redemption or switch instructions in accordance with the provisions of the Company's prospectus, free of charge, although in some countries local paying agents, correspondent banks or similar agents might charge transaction fees. Local agents might also have a local deal cut-off which is earlier than that described above, so please check with them to ensure that your instructions reach HSBC before the deal cut-off given above.

You can find the Fund's updated key information document (the KID) for the relevant share class and the Company's prospectus at [www.schroders.lu](http://www.schroders.lu).

If you have any questions or would like more information about Schroders' products please visit [www.schroders.com](http://www.schroders.com) or contact your local Schroders office, your usual professional adviser, or Schroder Investment Management (Europe) S.A. on (+352) 341 342 202.

Yours faithfully,

**The Board of Directors**

## Appendix

New wording is shown in bold.

Current investment policy	New investment policy
<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated portfolio of equity and equity related securities of Central and Eastern European companies, including the markets of the former Soviet Union and the Mediterranean emerging markets. The Fund may also invest in equity and equity related securities of North African and Middle Eastern companies.</p> <p>The Fund typically holds 30-50 companies.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p>	<p>The Fund is actively managed and invests at least two-thirds of its assets in a concentrated portfolio of equity and equity related securities of Central and Eastern European companies, including the markets of the former Soviet Union and the Mediterranean emerging markets. The Fund may also invest in equity and equity related securities of North African and Middle Eastern companies.</p> <p>The Fund typically holds 30-50 companies.</p> <p>The Fund may also invest up to one-third of its assets directly or indirectly in other securities (including other asset classes), countries, regions, industries or currencies, Investment Funds, warrants and Money Market Investments, and hold cash (subject to the restrictions provided in Appendix I).</p> <p>The Fund may use derivatives with the aim of achieving investment gains, reducing risk or managing the Fund more efficiently.</p> <p><b>The Fund maintains a higher overall sustainability score than the MSCI EFM Europe + CIS (E+C) Index (Net TR), based on the Investment Manager's rating criteria. More details on the investment process used to achieve this can be found in the Fund Characteristics section.</b></p> <p><b>The Fund does not directly invest in certain activities, industries or groups of issuers above the limits listed under "Sustainability-Related Disclosure" on the Fund's webpage <a href="https://www.schroders.com/en-lu/lu/individual/fund-centre">https://www.schroders.com/en-lu/lu/individual/fund-centre</a></b></p>
<p><b>Sustainability Criteria</b></p>	<p><b>Sustainability Criteria</b></p>
<p>N/A</p>	<p><b>The Investment Manager applies governance and sustainability criteria when selecting investments for the Fund.</b></p> <p><b>The Investment Manager performs due diligence on potential holdings, including meetings with management, and assesses the company's governance, environmental and social profile across a range of factors. This process is supported by quantitative</b></p>

	<p><b>analysis provided by Schroders' proprietary sustainability tools, which are key inputs to assess how existing and potential investments for the portfolio are meeting the Fund's sustainability criteria. In some cases, companies which fall below the sustainability criteria may still be eligible for investment if, as a result of proprietary analysis and ongoing engagement with management, the Investment Manager believes the company will meet its sustainability criteria within a realistic time horizon.</b></p> <p><b>For a company to be eligible to be held in the Fund, it is expected to show a commitment to its stakeholders, including customers, employees, suppliers, shareholders and regulators. The Fund selects companies whose businesses demonstrate good governance and aim to treat stakeholders equitably.</b></p> <p><b>The sources of information used to perform the analysis include information provided by the companies, such as company sustainability reports and other relevant company material, as well as Schroders' proprietary sustainability tools and third-party data and reports.</b></p> <p><b>More details on the Investment Manager's approach to sustainability and its engagement with companies are available on the webpage <a href="https://www.schroders.com/en-lu/lu/individual/what-we-do/sustainable-investing/our-sustainable-investment-policies-disclosures-voting-reports/disclosures-and-statements/">https://www.schroders.com/en-lu/lu/individual/what-we-do/sustainable-investing/our-sustainable-investment-policies-disclosures-voting-reports/disclosures-and-statements/</a></b></p> <p><b>The Fund maintains a higher overall sustainability score than its investment universe, based on the Investment Manager's rating system.</b></p> <p><b>The Investment Manager ensures that at least:</b></p> <ul style="list-style-type: none"><li><b>— 90% of the portion of the NAV composed of equities issued by large companies domiciled in developed countries; fixed or floating rate securities and money market instruments with an investment grade credit rating; and</b></li></ul>
--	--

	<p><b>sovereign debt issued by developed countries; and</b></p> <p><b>– 75% of the portion of the NAV composed of equities issued by large companies domiciled in emerging countries; equities issued by small and medium companies; fixed or floating rate securities and money market instruments with a high yield credit rating; and sovereign debt issued by emerging countries,</b></p> <p><b>is rated against the sustainability criteria. For the purposes of this test, small companies are those with market capitalisation below €5 billion, medium companies are those between €5 billion and €10 billion and large companies are those above €10 billion.</b></p>
--	--



ISIN codes of share classes affected by the changes:

<b>Share Class</b>	<b>Share Class Currency</b>	<b>ISIN</b>
A Distribution	EUR	LU0106820458
B Distribution	EUR	LU0106824104
C Distribution	EUR	LU0106824443
A Accumulation	EUR	LU0106817157
B Accumulation	EUR	LU0106819104
C Accumulation	EUR	LU0106820292
A1 Accumulation	EUR	LU0133716950
I Accumulation	EUR	LU0134345577
IZ Accumulation	EUR	LU2166138649
A Distribution	GBP	LU0242609179
A Accumulation	NOK	LU0994294378
A1 Accumulation	USD	LU0251572144

#### **Share Classes holding Russian Assets**

<b>Share Class</b>	<b>Share Class Currency</b>	<b>ISIN</b>
X9 Accumulation	EUR	LU2473380983
Y9 Accumulation	EUR	LU2473381015

本信函為境外基金公司致股東通知信之中文簡譯摘要，僅供台灣投資人參考，文義如與英文版股東通知信有任何差異或不一致的地方，請以英文版本為準。

2024 年 7 月 12 日

親愛的股東：

**施羅德環球基金系列（「本公司」） - 新興歐洲（「本基金」）**

我們茲致函通知閣下，自 2024 年 8 月 15 日（「生效日」）起，本基金將納入具約束力的環境及／或社會特色（具《永續金融揭露規則》（「SFDR」）第 8 條的涵義）。

## 背景資料和原因

我們認為，將永續因素納入本基金的策略，與投資者對於策略能夠明確展示其永續資格而日益增長的期望一致。

本基金的環境及／或社會特色及其如何達致有關特色的詳情將於本公司公開說明書之本基金「投資政策」及基金特色章節中新增之「永續性標準」一節予以揭露。本公司公開說明書附件 IV 亦載有本基金的締約前揭露文件，其載列有關本基金所推廣的環境及／或社會特色的更多資料。

有關本基金投資政策變更的完整詳情，請參閱本函附錄。

此變更對本基金風險／報酬狀況的影響程度並不重大。

作出此等變更後，本基金的投資風格、投資理念、投資策略，以及本基金的營運及／或管理方式不會有任何其他變更。

本基金的所有其他主要特點，包括相關風險概況及費用，將維持不變。

## 俄羅斯的股本和股本相關證券（「俄羅斯資產」）的處理

由於有關俄羅斯入侵烏克蘭導致前所未有的地緣政治局勢，及多個政府和市場交易對手對若干俄羅斯發行人及資產採取的制裁和行動所產生的影響，本基金於截至 2022 年 2 月 25 日所持有的俄羅斯資產已於本基金中被分隔，並分配至 X9 及 Y9 股份類別。

於 X9 及 Y9 股份類別中持有的俄羅斯資產將不受此更改的影響，且仍然與本基金的主要持股分隔。基於本基金在 SFDR 項下第 8 條分類之目的，本基金將不會納入或考慮俄羅斯資產。

## 將閣下的股份贖回或轉換至其他施羅德基金

我們希望在此等更改後，閣下仍將選擇投資於本基金，但如閣下有意在生效日前將閣下在本基金的持股贖回或轉換至本公司其他子基金，則閣下可於直至 2024 年 8 月 14 日（包括該日在內）下午 5 時（香港時間）交易截止時間前任何時間進行有關贖回或轉換。

請確保閣下的贖回或轉換指示於此截止時間前送抵本公司的轉讓代理人香港上海滙豐銀行有限公司。我們根據本公司公開說明書條款免費執行閣下的贖回或轉換指示，惟在某些國家，當地付款代理人、往來銀行或類似代理人可收取交易費用。當地中介人亦可實施一個較上述時間為早的當地交易截止時間，故請與該等中介人確定，以確保閣下的指示可於 2024 年 8 月 14 日下午 5 時（香港時間）交易截止時間前送抵轉讓代理人。

閣下可於 [www.schroders.lu](http://www.schroders.lu) 找到本基金相關級別的更新後產品資料概要及本公司的公開說明書。

閣下如有任何疑問或需要更多資料，請致電施羅德投信客服專線電話(02) 8723 6888 查詢。

董事會

謹啟

附錄

新增或修改內容以下底線標示。

(以下中譯文字如與中文版公開說明書有差異，請以最新中文版公開說明書內容為準)

變更前的投資政策及永續性標準	變更後的投資政策及永續性標準
<p><b>投資政策</b></p> <p>本基金採主動管理及將至少三分之二的資產投資於歐洲中部及東部（包括前蘇聯市場及地中海新興市場）的公司的股權和股權相關證券，投資組合具集中性。本基金亦可投資於北非及中東公司的股權和股權相關證券。</p> <p>本公司通常持有 30 至 50 間公司。</p> <p>本基金亦可將至多三分之一的資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現金（但須受到附件 I 所載之限制）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生性商品。</p>	<p><b>投資政策</b></p> <p>本基金採主動管理及將至少三分之二的資產投資於歐洲中部及東部（包括前蘇聯市場及地中海新興市場）的公司的股權和股權相關證券，投資組合具集中性。本基金亦可投資於北非及中東公司的股權和股權相關證券。</p> <p>本公司通常持有 30 至 50 間公司。</p> <p>本基金亦可將至多三分之一的資產直接或間接投資於其他證券（包括其他資產類別）、國家、地區、行業或貨幣、投資基金、認股權證及貨幣市場之投資，和持有現金（但須受到附件 I 所載之限制）。</p> <p>本基金可為達致投資增益、減低風險或更有效地管理本基金而運用衍生性商品。</p> <p><u>依投資經理人的評等標準，本基金之永續性評分整體維持高於 MSCI EFM Europe + CIS (E+C) Index (Net TR)。有關實現此目標所使用的投資流程，詳情請見基金特色一節。</u></p> <p><u>本基金不會直接投資於超過本基金網頁「永續性相關揭露」中所列限制之若干活動、產業或發行人集團，詳見</u> <a href="https://www.schroders.com/en-lu/lu/individual/fund-centre">https://www.schroders.com/en-lu/lu/individual/fund-centre</a></p>

<p>永續性標準</p> <p>不適用</p>	<p>永續性標準</p> <p><u>投資經理人為本基金選擇投資標的時，採用公司治理與永續性標準。</u></p> <p><u>投資經理人就所有潛在之投資標的進行盡職調查，包括與管理階層會議、透過一系列因素評估該公司之治理、環境及社會形象。此程序藉由施羅德專屬的永續性工具所提供之量化分析予以支持。該等工具將為用以評估投資組合中既有及潛在的投資標的如何符合本基金永續性標準之關鍵投入因素。在某些情況下，未達永續性標準的公司，若投資經理人根據專屬分析及與管理階層持續議合之結果，認為該公司將在實際的時間範圍內符合永續性標準，該公司仍可能符合被投資的資格。</u></p> <p><u>若要符合被本基金投資的資格，公司應展現對其利害關係人（包括客戶、員工、供應商、股東及監管機關）的承諾。本基金選擇展現良好公司治理以及致力於合理對待利害關係人的公司。</u></p> <p><u>用於執行分析的資訊來源包括各公司所提供的資訊，例如公司永續性報告與其他相關公司資料，以及施羅德專屬永續性工具與第三方資料。</u></p> <p><u>有關投資經理人對永續性所採取的方法及其與公司議合的詳情，請參閱網頁：</u> <a href="https://www.schroders.com/en-lu/lu/individual/what-we-do/sustainable-investing/our-sustainable-investment-policies-disclosures-voting-">https://www.schroders.com/en-lu/lu/individual/what-we-do/sustainable-investing/our-sustainable-investment-policies-disclosures-voting-</a></p>
-------------------------	--

	<p><a href="#">reports/disclosures-and-statements/</a>。</p> <p>依投資經理人的評等標準，本基金之整體永續性評分維持高於投資範圍。</p> <p>投資經理人確保，本基金至少下列比例之淨資產價值係依永續性標準進行評等：</p> <ul style="list-style-type: none"> <li>- 以下標的所構成之淨資產價值的 90%：於已開發國家註冊之大型公司所發行的股票；具投資等級信用評等的固定或浮動利率證券及貨幣市場工具；已開發國家所發行的主權債；</li> <li>- 以下標的所構成之淨資產價值的 75%：於新興國家註冊之大型公司所發行的股票；中小型公司所發行的股票；具非投資等級信用評等的固定或浮動利率證券及貨幣市場工具；及新興國家所發行的主權債。</li> </ul> <p>為本檢驗之目的，小型公司係指市值低於 50 億歐元的公司，中型公司係指市值介於 50 億歐元至 100 億歐元間的公司，大型公司係指市值高於 100 億歐元的公司。</p>
--	---

受變更影響之基金級別 ISIN 編碼：(下表僅列示台灣註冊之級別)

基金名稱/級別	級別貨幣	ISIN 編碼
施羅德環球基金系列－新興歐洲(歐元)A-累積	歐元	LU0106817157
施羅德環球基金系列－新興歐洲(歐元)A1-累積	歐元	LU0133716950
施羅德環球基金系列－新興歐洲(美元)A1-累積	美元	LU0251572144
施羅德環球基金系列－新興歐洲(歐元)C-累積	歐元	LU0106820292
施羅德環球基金系列－新興歐洲(歐元)I-累積	歐元	LU0134345577